Agenda Item 7

Key:
Key financial audit

In Progress
Draft Issued

No longer applicable/ revised arrangements
Confidential

## PLANNED AUDITS

	PRIORITY		Ap			Ma		Т	Ju				July			Augı	ust	Sep	otem	ber			tobe					Dec					uary			ebru			Marc	
JOINT		1	2	3 4	1	2 3	4	5 1	1 2	3	4 1	2	3	4	4 1	2	3 4	1	2 3	4	1	2	3	4 5	1 :	2 3	4	1 :	2 3	3 4	1 2	2 3	4		5 1	2	3 4	1	2 3	4
Budgetary Control	16							┸								Ш		$\perp$			Ш	L	$\perp$										Ш	L		Ш		Ш	$\bot$	
Capital Management & Accounting	22	_			$\bot$	_	$\perp$	_	$\perp$		_		Ш	L	_	$\sqcup$						L			ш			$\perp$	_			$\bot$	Ш	L		Ш	$\perp$	₩	$\bot$	
Council Tax	24	$\bot$		_	$\vdash$	_	+	+	$\perp$		_	+	Ш	_	_	$\vdash$	_		_			┡	+	_	$\vdash$	_	$\perp$	$\vdash$	_		$\vdash$	+	Ш	┝	_	$\sqcup$	+	₩	+	
Creditor Payments Data Protection / GDPR	26 20	+			+	_	++	+	+			-	H	┝	-	+	_	₽	_	$\perp$					$\vdash$	_		$\vdash$	+		$\vdash$		Н	┝	_	Н		┷	_	$\square$
Development Management (Planning Applications)	20	+	+	-	+	+	+ +					+	H	H	+	+	+	$\vdash$	+	+	Н	H	+	+	$\vdash$	+	Н	$\vdash$	+		$\vdash$	+	H	┢	+	Н			-	$\square$
Disabled Facility Grants	16	$\vdash$		-	+	+	++	+			_		Н	-	_	+	+	₩	+	+	Н	┢	+	+	$\vdash$	+	Н	+	+		$\vdash$	+	$\mathbf{H}$	┢	+	Н	+	₩	+	$\square$
	22	+	-		+	_	++	+			-			F		++	_	$\vdash$				Н			$\vdash$	+	+	+	+		$\vdash$	+	Н	┢	+	$\vdash$	+	╂┤	+	
Elections & Election Payments		+		_	+	-	++	+	+		-	+	Н	H	+	$\vdash$	-	₩						_							$\vdash$	+	Н	┝	+	$\vdash$	+	₩	+	$\square$
General Ledger	23	4		_	lacksquare	_	+	4	$\perp$		_	_	Ш	L	_	$\vdash$	_	$\vdash$	_		Ш	L	+	_	ш	_					$\perp$			┝	_	$\sqcup$	_	₩	+	
Health & Safety	21							4			_	_	Ш	L		$\perp$		Ш			Ш	L	$\perp$	_	Ш	_		Ш	_					L				┷	$\bot$	
Housing Benefits & Council Tax Reduction Scheme	21												Ш	L								L			Ш								Ш					4		
Information Security (including cyber security)	21													L								L			Ш								Ш	L		Ш		Ш		
Leisure Development	8													ပ								<mark>ပ</mark> ြ											LJ,	ပ						ပ
Lone Working / Officer Security	24													AG								AG												AG						AGC
Mobile Home Parks	25													٦								7												7						ااح
Moorings	9																																							
NNDR	22																					Г																П		
Payroll	28																					Г	П											Г				П		
Performance Management	17													Г								Г											П	Г				П		
Pro-active Anti-Fraud Review	21																																					П		
Procurement	27																																							
Sundry Debtors	23																																					П		
Treasury Management	21																					Г																П		
SODC																		П							П													П		
Cornerstone	21																																							
VWHDC																																								
None																																						Ш	丄	

## POTENTIAL AUDITS FOR 2019/2020

Depending on the outcome of recruitment activity for the two vacant auditor posts, the following audits will be performed if there are available audit days:

	PRIORITY	Α	pril		М	ay		,	June	,		Ju	ıly		Ā	ugu	st	Sep	tem	ber		Octo	oer		No	veml	oer	Dec	embe	er		Jan	uary		TF	ebr	uary	Т	Ma	arch	
JOINT		1 2	2 3	4 1	1 2 :	3 4	5	1 2	2 3	4	1	2 3	3	4	1	2 3	4	1 2	2 3	4	1	2	3 4	5	1	2 3	4	1 2	3	4	1 2	2 3	4	5	1	2	3 4	l 1	2	3	4
Land Charges	19												ဗ္ဗ								G <mark>C</mark>				П				П					ပ္သ						િ લુ	3
Assets of Community Value	11												٦Š	П							٦ <mark>٤</mark>	П			П		П		П				:	Š –		П		Т	П		śГ
Engineering Services (sewerage, flooding, drainage)	8																										П		П										П		

## FOLLOW UP AUDITO

	PRIORITY		<b>April</b>				ay			Jur				July	,		Aug	gust		Sept	tem	ber		Oct	ober	•	No	over	nbei	r   C	)ece	mbe	er		Janı	Jary		F	ebr	uary		N	Marc	h
JOINT		1	2 3	4	1	2 :	3 4	5	1	2	3 4	1 1	2	3		4 1	2	3	4	1 2	2 3	4	1	2	3	4 5	1	2	3 4	4 1	2	3	4	1 2	3	4	5	1	2	3 4	1 1	2	3	
Brown Bins 2018/2019																						П										П					Г		П				П	
Discretionary Grants 2018/2019																								Г						Т		П					Г		П		П		$\Box$	
Insurance 2018/2019																						П		Г						Т		П					Г		П		T		$\square$	
Planning Appeals 2018/2019																						П										П					Г		П				П	
Property Management 2018/2019												T				T						П		Г						Т		П							П		T		$\Box$	
Risk Management 2018/2019															ပ							П	ر	٥								П					٦		П				$\Box$	O
Street Naming & Numbering 2018/2019												Т			9	Т			$\neg$		$\top$	П		2	П		Т			Т		П	$\neg$		П		ַ כַּ	T	П		$\top$		$\Box$	9
Mobile Home Parks 2019/2020															7							П	_  <u>-</u>	<u> </u>								П				-	5		П				$\Box$	<u> </u>
Budgetary Control 2019/2020																						П								Т		П					Г		П		$\top$			
Disabled Facility Grants 2019/2020																					T	П			П					Т		П					Г	1	П		1			
SODC																																												
None									П			Т				Т		П	$\neg$		$\top$	П			П		Т			Т		П	$\neg$		П		Г	Т	П		$\top$		$\Box$	
VWHDC																																												
None									П			T	1								1	$\Box$			$\Box$		1				1	П		$\neg$	T			1	П		Т	1	$\Box$	

UNPLANNED AUDITS													
	PRIORITY	April	May	June	July	August	September	October	November	December	January	February	March
JOINT		1 2 3 4	1 2 3 4 5	5 1 2 3 4	1 2 3 4	4 1 2 3 4	1 2 3 4 1	2 3 4 5	1 2 3 4	1 2 3 4	1 2 3 4 5	1 2 3 4 1 7	2 3 4
None													
SODC								<mark>ဗ</mark> ္ဗ			S S		
Planning applications investigation	N/A				T   A			T <mark>Y</mark>			N A A		
VWHDC													
None													